

**Thirteenth Meeting  
Board of Governor  
National Institute of Technology, Uttarakhand**

**Date : 27<sup>th</sup> June, 2017  
Time : 2.30 PM  
Venue : Office of the CCE (Central),  
DRDO, Development Enclave,  
Rao Tula Ram Marg, Delhi Cantt.**

**Agenda**

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**Registrar**

**BoG 13.01: To confirm the Minutes of the Twelfth Meeting of Board of Governors.**

Minutes of the Twelfth meeting of Board of Governors, duly approved by the Chairman, were circulated vide letter no. NITUK/R/2016-17/1962 dated 06<sup>th</sup> October 2016 with the request to confirm if they have been recorded correctly or need modification(s) in any respect. No comments / suggestions were received by the Institute. Minutes are enclosed as **Annexure BOG 13.01**

The Board is requested to confirm the same.

## BoG 13.02: Action Taken Report.

The Action Taken Report is as below.

Agenda Item No.	Agenda Item	Resolution	Action Taken
BoG 12.01	Confirmation of the Minutes of the 10 <sup>th</sup> meeting of the Board of Governors and agenda by circulation vide agenda item no 11.01&11.02.	Directives may be sought from MHRD regarding establishment of Technology Incubation Centre in NIT Uttarakhand. As regard item by circulation no. 11.01 Board resolved that in view of observation from IFD vide letter n. 3-30/2013 IFD (Pt.) dated 19/08/2016 DPR of NIT Uttarakhand be withdrawn till further instructions from MHRD regarding site for permanent campus. Board confirmed item no. 11.02 regarding Annual Report of the Institute.	Noted & Implemented
BoG 12.02	Actions Taken Report	The BoG suggested that following information should be the part of ATR in future: 1. Agenda Item No 2. Agenda Item 3. Resolution in brief 4. Brief Para/Remarks on Action Taken	Noted & Implemented
BoG 12.03	To consider the Gol correspondence regarding Departmental Enquiry against Dr. Ajay Kumar Chaubey and Dr. Saroj Ranjan De.	Mr. R. D. Sahay relieved from the assigned responsibility of IO and Board recommended Mr. Navin Soi, Retired Joint Secretary from MHRD as IO. Board directed that the enquiry shall be resumed against both the delinquent officers. Additional charge sheet for gross misconduct be prepared against the two delinquent officers. The Board once again reiterates that no employee of the Institute should submit representations directly to other authorities including the Chairman & Members of the Board and other committees by bypassing the prescribed channel of communication.	Necessary action has been taken
BoG 12.04	Absorption of Colonel Sukhpal Singh, Registrar in National Institute of Technology, Uttarakhand services	Board resolved that Col. Sukhpal Singh to continue as Registrar of NIT Uttarakhand on contract as per terms and conditions delineated in Office Order dated 12 June 2015. Pending Clarification from MHRD regarding the pay fixation.	Implemented as per Board directives. Clarification from MHRD is still awaited.
BoG 12.05	Regarding deputation under Trainee Teachers scheme	Matter referred to the MHRD	Reply from MHRD is still awaited.
BoG 12.06	Conversion of a post of Stenographer to Junior	Matter referred to the MHRD	Reply from MHRD is still awaited.

	Assistant.		
BoG 12.07	Appointment of Assistant Professor who have acquired Ph.D. degree after Interview	Board approved to issue the appointment order to all five candidates against sanctioned teaching post from MHRD.	Implemented
BoG 12.08	Intimation regarding appointments made at the Institute.	Board directed to re cast the agenda with additional information.	Agenda placed as Agenda Item no 13.10.
BoG 12.09	Disciplinary action against those faculties who violated CCS Rules.	Board expressed the desire to curb such growing in disciplinary act of the employees and advised the Director to Show Cause notice to individual concern.	Action taken. Report being confidential is being placed separately.
BoG 12.10	Nominations on Board of Governors	As per Board resolution fresh nomination may be sought from Senate as per rules.	Agenda placed as Agenda Item no 13.09.
BoG 12.11	Guidelines for Confirmation of Teaching & Non-Teaching staff	Board resolved that guidelines prepared by the Institute should be forwarded to the MHRD for perusal. Pending cases of confirmation may be dealt with, as per DoPT guidelines, by the Director.	Agenda placed as Agenda Item no 13.03.
BoG 12.12	Ratification of notes approved by the Chairman	Ratified	Implemented
BoG 12.13	To note and approve the minutes of Senate.	Noted and approved.	Implemented
BoG 12.14	To note and approve the minutes of Finance Committee.	<ol style="list-style-type: none"> <li>1. Board enquired about the observations in the Audit paras received through Separate Audit Report from CAG and directed to incorporate the suggestions and observations in Annual Accounts of next Financial Year.</li> <li>2. The agenda item, on extension of extension of Retirement Gratuity and Death Gratuity to Institute employees, be referred to MHRD for further clearance.</li> </ol>	<p>Implemented</p> <ol style="list-style-type: none"> <li>1. Suggestions have already been incorporated in the Annual Account of Financial Year 2016-17.</li> <li>2. Matters referred to MHRD. Reply is still awaited.</li> </ol>

The Board is requested to note the same.

### **BoG 13.03 Confirmations of Faculty, Officers & Non- Teaching Staff.**

As per Board resolution No. 12.11, the cases of confirmation of employees, after successful completion of probation period, may be dealt with by the Director as per DOPT guidelines. In pursuance to the Board resolution and DOPT guidelines on the subject, a list of employees who have completed their probation period successfully, duly recommended by the Director, are placed before the Board as per enclosed **Annexure BOG 13.02**. These employees are recommended to be confirmed.

Board is requested to confirm all the listed employees and also permit to deal with all due/future cases of confirmation as per DOPT norms.

#### **BoG 13.04 Reconsideration of policy regarding Grant of Lien to Institute Employees.**

Policy of granting lien for Institute employees was approved vide agenda item no. 9.07. Guideline approved by the Board are as under:

- a) Employee must have put in minimum six (06) year of continuous service in the Institute to be eligible for applying for lien. It means that if permanent employee of NIT Uttarakhand applies and gets selected for posts in other Central Government Department/ Offices/State Government in first 06 years of his service in the Institute or does not complete continuous service in NIT Uttarakhand for any other reason than he/she will NOT be eligible for applying for lien.
- b) Grant of lien is discretionary and normally will be based on the employee's Annual Confidential Reports during the service rendered in the Institute.
- c) To maintain optimum levels of administration, functioning and growth of the Institute, not more than 5% of the total faculties and 5% non-faculty personnel present on role of the Institute, at any time, will be allowed to retain the lien.
- d) Lien will be granted only for joining government organization/NITs/IITs/ Central Universities/ Research Institutes. No lien will be granted for joining private organization including PSUs.
- e) Lien can be granted not more than 2 times during service period. The gap between two such requests for grant of lien should be minimum 02 years.
- f) Competent Authority of NIT Uttarakhand reserves the right to allow/disallow retention of lien irrespective of fulfillment of the above conditions.

Initially no. of employees were less hence, above guidelines were prepared. Keeping in view the future of the employees and to avoid any legal complication in future, reconsideration of the above guidelines is required as per Government Order.

Board is requested to reconsider the process of granting lien and permit lien to the employees of the Institute as per related DoPT/Government of India Orders.

**BoG 13.05 Consideration of modification in provisions of the First Statutes.**

As per the MHRD letter no. F.No.33-9/2011-TS.III dated 29<sup>th</sup> May, 2017 the council of the National Institutes of Technology, Science Education and Research (NITSER) in the 10<sup>th</sup> meeting held on 26<sup>th</sup> May, 2017 has approved the RRs for faculty in NITs which will replace the existing Four-Tier Flexible Faculty Structure.

The Council has also decided that these Recruitment Ruled shall be made part of Statutes of NITs. Therefore, the amendment to the Statutes 23 (5) (A) is to be adopted by through the Board of Governors.

Besides above, the Council of NITSER also approved modification in Statute 17 (1) of the First Statutes of NITs pertaining to constitution of the Selection Committee for the selection of the Director as given below:

Existing clause	Amendment proposed
The Director of the Institute shall be appointed by the Visitor on contract basis on the recommendations of a Selection Committee constituted by him consisting of atleast five members including the Chairman who are experts in the field of technical education with experience at national international level.	The Director of the Institute shall be appointed by the Visitor on contract basis on the recommendations of a Search – cum – Selection Committee constituted by him consisting of atleast five members. The Chairperson of the Council shall be its Chairperson and the Secretary of the Department of Higher Education or his representative shall be one its members besides three other experts in the field of technical education with experience at national and international level.

Board is requested to adopt the new Recruitment Rules and proposed modification in the Statues. The details are enclosed as **Annexure-BoG 13.03**.

**BoG 13.06 To approve the draft Annual Report for the Year 2016-17.**

A draft annual report for the year 2016-17 is prepared as per Ministry of Human Resource Development Guidelines and enclosed as **Annexure 13.04**.

Board is requested to approve the annual report for the year 2016-17.

**BoG 13.07 Renewal of contract of Dr. Anupam Yadav, Assistant Professor of Mathematics, Department of Science and Humanities.**

Dr. Anupam Yadav was appointed as Assistant Professor on contract (mathematics) in the Dept. of Science and Humanities vide office order no. NITUK/Estt/2014/034/777 dated 13.06.2014 and joined the Institute on 14.08.2014. In this regard, a bond was also signed between the Dr. Anupam Yadav and the Director, NIT, Uttarakhand on dated 11.05.2015.

*As per para 02 of agreement "The party no. 1 (Dr. Anupam Yadav) shall be on service under the agreement for a period of 03 years. The contract shall be in force subjected to his/her good performance in teaching, research and good conduct. The services of party no. 01 will be regularized on satisfactory completion of three years provided that for regularization of service he/she shall appear before the duly constituted committee. Applicable for recruitment of faculty and recommendation of the committee are accepted by the BoG."*

Contract period of Dr. Anupam Yadav will complete on 13.08.2017.

As per recruitment rule para 04 *"Faculty who are appointed on contractual basis, shall be for a fixed period not exceeding 05 years."* Dr. Anupam Yadav will be completing 03 year contract on 13.08.2017.

A duly constituted Performance Review Committee recommended grant of extension of contract of Dr. Anupam Yadav for two more years.

Board is requested to extend the contract period of Dr. Anupam Yadav for 02 more years on same terms and conditions.



**BoG 13.08 Action on CBI Self Contained Note No. PE0072014A0006 dated 24.09.2015 against Kumar Gaurav, Assistant Professor, NIT Uttarakhand regarding purchase of Lab View Software and Hardware item.**

A letter No. 30-2/2016.TS-III dated 27<sup>th</sup> December, 2016 is received from MHRD regarding complaint against Shri Gaurav Kumar, Assistant Professor of NIT Uttarakhand.

CBI, SPE, Dehradun had conducted an enquiry in NIT, Uttarakhand with regards to purchase of "Lab View Software & Hardware System" (said to be proprietary in nature) from M/s ADCC Infocad Pvt. Ltd., Pune (ISO 9001:2008 certified company and part of Meghe Group in Maharashtra) in 2013 for exorbitant sum of Rs. 3,85,31,532/-.

Based on the investigation, CBI SPE, Dehradun submitted a Self Contained note vide No. 4757/PE0072014A0006 wherein it was recommended to initiate action as deemed fit against Dr. H. T. Thorat, Ex-Director and Shri Kumar Gaurav, Assistant Professor of NIT, Uttarakhand. A copy of the Self Contained of CBI is enclosed as **Annexure 13.05**.

Shri Kumar Gaurav is working as an Assistant Professor, Electronics Department in National Institute of Technology (NIT), Uttarakhand. Therefore, BoG is the competent Disciplinary Authority.

Institute CVO is to be deputed to examine the Self Contained Note of CBI and matter may then be sent to Central Vigilance Commission (CVC) for first stage advice.

Nomination of Institute CVO is still awaited from MHRD. Hence, Board is requested to issue necessary directives.

### **BoG 13.09 Ratification of notes approved by the Chairman.**

Approval was taken from the Chairman, BOG for emergent and inevitable items.

1. Resignation of Dr. Anirban Mukherjee.
2. Resignation of Dr. Arun Kumar Wamankar.
3. Resignation of Dr. Manoj Kumar Senapati.
4. Resignation of Dr. Girish P.R.
5. Resignation of Ms. Jyoti Kumari.
6. Permission to attend International Conference abroad-Dr. Nitin Kumar.
7. Permission to attend international conference abroad-Dr. Devesh Punera.
8. Permission to attend international conference abroad-Dr. Anirban Mukherjee
9. Permission to attend international conference abroad-Dr. Vikas Kukshal.
10. Permission to attend international conference abroad- Mr. Surendra Singh.
11. Permission to attend international conference abroad- Dr. Anupam Yadav.
12. Permission to attend international conference abroad- Mr. Surendra Singh.
13. Permission to attend international conference abroad- Mr. Krishan Kumar.
14. Permission to attend international conference abroad- Dr. Nitin Kumar.
15. Permission to attend international conference abroad- Dr. Kuldeep Sharma.
16. Nomination of two Faculty Members to the Board of Governors.
17. Reporting and Reviewing Officer for Annual Performance Appraisal Report.
18. Approval regarding appointment of Deans.
19. Withdrawal of Associate Deanship (Examination) and creation of Associate Deanship (Estate).
20. Approval regarding appointment of Dean (Estate).

The notes duly approved by the Chairman are placed at **Annexure-BoG 13.06**

The Board is requested to ratify.

**BoG 13.10 Item for information.**

**1-Legal Cases**

16 writ petitions were filed in Hon'ble High Court of Uttarakhand and Delhi against the Institute. Status of all legal cases are enclosed as **Annexure BoG 13.07.**

**2- Intimation regarding appointments made at the Institute**

Details are enclosed as **Annexure BoG 13.08.**

**BoG 13.11 To note and approve the minutes of Senate.**

Minutes of the Eighth meeting of the Senate, are placed as **Annexure BoG 13.09**

The Board is requested to note and approve the minutes.

**BoG 13.12 To note and approve the minutes of Finance Committee.**

Minutes of the Tenth meeting of the Finance Committee placed as **Annexure BoG 13.10.**

The Board is requested to note and approve the minutes.

**BoG 13.13 Any other item with the permission of the Chair.**

**Registrar**